The International Cyanide Management Code (hereinafter “the Code”), this document, and other documents or information sources referenced at www.cyanidecode.org are believed to be reliable and were prepared in good faith from information reasonably available to the drafters. However, no guarantee is made as to the accuracy or completeness of any of these other documents or information sources. No guarantee is made in connection with the application of the Code, the additional documents available or the referenced materials to prevent hazards, accidents, incidents, or injury to employees and/or members of the public at any specific site where gold or silver is extracted from ore by the cyanidation process. Compliance with this Code is not intended to and does not replace, contravene or otherwise alter the requirements of any specific national, state or local governmental statutes, laws, regulations, ordinances, or other requirements regarding the matters included herein. Compliance with this Code is entirely voluntary and is neither intended nor does it create, establish, or recognize any legally enforceable obligations or rights on the part of its signatories, supporters or any other parties.
SUMMARY AUDIT REPORT
FOR GOLD MINING OPERATIONS

Instructions

1. The basis for the finding and/or statement of deficiencies for each Standard of Practice should be summarized in this Summary Audit Report. This should be done in a few sentences or a paragraph.

2. The name of the mine operation, lead auditor signature and date of the audit must be inserted on the bottom of each page of this Summary Audit Report.

3. An operation that is in substantial compliance must submit a Corrective Action Plan with the Summary Audit Report.

4. The Summary Audit Report and Corrective Action Plan, if appropriate, with all required signatures must be submitted in hard copy to:

   International Cyanide Management Institute (ICMI)
   1400 I Street, NW, Suite 550
   Washington, DC 20005, USA

5. The submittal must be accompanied by 1) a letter from the owner or authorized representative which grants the ICMI permission to post the Summary Audit Report and Corrective Action Plan, if necessary, on the Code website, and 2) a completed Auditor Credentials Form. The lead auditor’s signature on the Auditor Credentials Form must be certified by notarization or equivalent.

6. Action will not be taken on certification based on the Summary Audit Report until the application form for a Code signatory and the required fees are received by ICMI from the applicable gold mining company.

7. The description of the operations should include sufficient information to describe the scope and complexity of the gold mining operation and gold recovery process.
SUMMARY AUDIT REPORT

Name of Mine: ________________________________
Name of Mine Owner: __________________________
Name of Mine Operator: ________________________
Name of Responsible Manager: __________________
Address: ___________________________________
State/Province: ______________ Country: __________
Telephone: _____________ Fax: ________________ E-Mail: __________

Location detail and description of operation:

___________________________________________  ____________  ____________
Name of Mine                                     Signature of Lead Auditor  Date

Page 2 of 12
SUMMARY AUDIT REPORT

Auditor’s Finding

This operation is

☐ in full compliance
☐ in substantial compliance *(see below)
☐ not in compliance

with the International Cyanide Management Code.

Include one of the following statements for Recertification Audit Reports:

This operation has maintained full compliance with the International Cyanide Management Code throughout the previous three-year audit cycle.

During the previous three-year audit cycle, this operation experienced non-compliance with Code requirements, significant cyanide incidents requiring notification to ICMI and/or cyanide exposures or releases that would require disclosure under Item 9.3.3 of the Mining Operations Verification Protocol. Further information and the rationale for the auditor’s finding are provided under Standard of Practice _____.

* The Corrective Action Plan to bring an operation in substantial compliance into full compliance must be enclosed with this Summary Audit Report. The plan must be fully implemented within one year of the date of this audit.

Audit Company: 

Audit Team Leader: E-mail: 

Names and Signatures of Other Auditors: 

Date(s) of Audit: 

I attest that I meet the criteria for knowledge, experience and conflict of interest for Code Verification Audit Team Leader, established by the International Cyanide Management Institute

<table>
<thead>
<tr>
<th>Name of Mine</th>
<th>Signature of Lead Auditor</th>
<th>Date</th>
</tr>
</thead>
</table>

Page 3 of 12
and that all members of the audit team meet the applicable criteria established by the International Cyanide Management Institute for Code Verification Auditors.

I attest that this Summary Audit Report accurately describes the findings of the verification audit. I further attest that the verification audit was conducted in a professional manner in accordance with the International Cyanide Management Code Verification Protocol for Gold Mine Operations and using standard and accepted practices for health, safety and environmental audits.

Name of Facility    Signature of Lead Auditor    Date
SUMMARY AUDIT REPORT

1. PRODUCTION: Encourage responsible cyanide manufacturing by purchasing from manufacturers who operate in a safe and environmentally protective manner.

Standard of Practice 1.1: Purchase cyanide from manufacturers employing appropriate practices and procedures to limit exposure of their workforce to cyanide, and to prevent releases of cyanide to the environment.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Summarize the basis for this Finding/Deficiencies Identified:

2. TRANSPORTATION: Protect communities and the environment during cyanide transport.

Standard of Practice 2.1: Establish clear lines of responsibility for safety, security, release prevention, training and emergency response in written agreements with producers, distributors and transporters.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 2.2: Require that cyanide transporters implement appropriate emergency response plans and capabilities and employ adequate measures for cyanide management.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Summarize the basis for this Finding/Deficiencies Identified:

3. HANDLING AND STORAGE: Protect workers and the environment during cyanide handling and storage.

Standard of Practice 3.1: Design and construct unloading, storage and mixing facilities consistent with sound, accepted engineering practices, quality control/quality assurance procedures, spill prevention and spill containment measures.

Name of Mine

Signature of Lead Auditor

Date
SUMMARY AUDIT REPORT

☐ in full compliance with
The operation is ☐ in substantial compliance with ☐ not in compliance with
☐ in full compliance with
Summarize the basis for this Finding/Deficiencies Identified: (Due to the sensitivity of security issues regarding storage of cyanide, no descriptions of substantial or non-compliance with this aspect of the Standard of Practice should be provided.)

Standard of Practice 3.2: Operate unloading, storage and mixing facilities using inspections, preventive maintenance and contingency plans to prevent or contain releases and control and respond to worker exposures.

☐ in full compliance with
The operation is ☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

4. OPERATIONS: Manage cyanide process solutions and waste streams to protect human health and the environment.

Standard of Practice 4.1: Implement management and operating systems designed to protect human health and the environment utilizing contingency planning and inspection and preventive maintenance procedures.

☐ in full compliance with
The operation is ☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.2: Introduce management and operating systems to minimize cyanide use, thereby limiting concentrations of cyanide in mill tailings.

☐ in full compliance with
The operation is ☐ in substantial compliance with ☐ not in compliance with ☐ not subject to

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.3: Implement a comprehensive water management program to protect against unintentional releases.

Name of Mine Signature of Lead Auditor Date
SUMMARY AUDIT REPORT

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

The operation is ☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Standard of Practice 4.3

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.4: Implement measures to protect birds, other wildlife and livestock from adverse effects of cyanide process solutions.

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

The operation is ☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Standard of Practice 4.4

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.5: Implement measures to protect fish and wildlife from direct and indirect discharges of cyanide process solutions to surface water.

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

The operation is ☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Standard of Practice 4.5

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.6: Implement measures designed to manage seepage from cyanide facilities to protect the beneficial uses of ground water.

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

The operation is ☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Standard of Practice 4.6

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.7: Provide spill prevention or containment measures for process tanks and pipelines.

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

The operation is ☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Standard of Practice 4.7

☐ in full compliance with
☐ in substantial compliance with ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Name of Mine __________________________ Signature of Lead Auditor __________________________ Date __________________________

Page 7 of 12
SUMMARY AUDIT REPORT

Standard of Practice 4.8: Implement quality control/quality assurance procedures to confirm that cyanide facilities are constructed according to accepted engineering standards and specifications.

☐ in full compliance with The operation is ☐ in substantial compliance with Standard of Practice 4.8 ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 4.9: Implement monitoring programs to evaluate the effects of cyanide use on wildlife, surface and ground water quality.

☐ in full compliance with The operation is ☐ in substantial compliance with Standard of Practice 4.9 ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

5. DECOMMISSIONING: Protect communities and the environment from cyanide through development and implementation of decommissioning plans for cyanide facilities

Standard of Practice 5.1: Plan and implement procedures for effective decommissioning of cyanide facilities to protect human health, wildlife and livestock.

☐ in full compliance with The operation is ☐ in substantial compliance with Standard of Practice 5.1 ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 5.2: Establish an assurance mechanism capable of fully funding cyanide related decommissioning activities.

☐ in full compliance with The operation is ☐ in substantial compliance with Standard of Practice 5.2 ☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

6. WORKER SAFETY: Protect workers’ health and safety from exposure to cyanide.

_________________________  ___________________________  ________________
Name of Mine                  Signature of Lead Auditor          Date

Page 8 of 12
SUMMARY AUDIT REPORT

Standard of Practice 6.1: Identify potential cyanide exposure scenarios and take measures as necessary to eliminate, reduce or control them.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Standard of Practice 6.1

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 6.2: Operate and monitor cyanide facilities to protect worker health and safety and periodically evaluate the effectiveness of health and safety measures.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Standard of Practice 6.2

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 6.3: Develop and implement emergency response plans and procedures to respond to worker exposure to cyanide.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Standard of Practice 6.3

Summarize the basis for this Finding/Deficiencies Identified:

7. EMERGENCY RESPONSE Protect communities and the environment through the development of emergency response strategies and capabilities.

Standard of Practice 7.1: Prepare detailed emergency response plans for potential cyanide releases.

☐ in full compliance with
☐ in substantial compliance with
☐ not in compliance with

The operation is

Standard of Practice 7.1

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 7.2: Involve site personnel and stakeholders in the planning process.

☐ in full compliance with

The operation is

Standard of Practice 7.2

Summarize the basis for this Finding/Deficiencies Identified:

Name of Mine

Signature of Lead Auditor

Date
SUMMARY AUDIT REPORT

The operation is □ in substantial compliance with □ not in compliance with Standard of Practice 7.2

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 7.3: Designate appropriate personnel and commit necessary equipment and resources for emergency response.

□ in full compliance with

The operation is □ in substantial compliance with □ not in compliance with Standard of Practice 7.3

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 7.4: Develop procedures for internal and external emergency notification and reporting.

□ in full compliance with

The operation is □ in substantial compliance with □ not in compliance with Standard of Practice 7.4

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 7.5: Incorporate into response plans and remediation measures monitoring elements that account for the additional hazards of using cyanide treatment chemicals.

□ in full compliance with

The operation is □ in substantial compliance with □ not in compliance with Standard of Practice 7.5

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 7.6: Periodically evaluate response procedures and capabilities and revise them as needed.

□ in full compliance with

The operation is □ in substantial compliance with □ not in compliance with Standard of Practice 7.6

Summarize the basis for this Finding/Deficiencies Identified:

8. TRAINING: Train workers and emergency response personnel to manage cyanide in a safe and environmentally protective manner.

_________________________  __________________________  _______________________
Name of Mine                        Signature of Lead Auditor                Date

Page 10 of 12
SUMMARY AUDIT REPORT

Standard of Practice 8.1: Train workers to understand the hazards associated with cyanide use.

☐ in full compliance with
☐ in substantial compliance with Standard of Practice 8.1
☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 8.2: Train appropriate personnel to operate the facility according to systems and procedures that protect human health, the community and the environment.

☐ in full compliance with
☐ in substantial compliance with Standard of Practice 8.2
☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 8.3: Train appropriate workers and personnel to respond to worker exposures and environmental releases of cyanide.

☐ in full compliance with
☐ in substantial compliance with Standard of Practice 8.3
☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:


Standard of Practice 9.1: Provide stakeholders the opportunity to communicate issues of concern.

☐ in full compliance with
☐ in substantial compliance with Standard of Practice 9.1
☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 9.2: Initiate dialogue describing cyanide management procedures and responsively address identified concerns.

☐ in full compliance with
☐ in substantial compliance with Standard of Practice 9.2

Summarize the basis for this Finding/Deficiencies Identified:

Name of Mine __________________________________________ Signature of Lead Auditor __________________________ Date ____________

Page 11 of 12
SUMMARY AUDIT REPORT

☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

Standard of Practice 9.3: Make appropriate operational and environmental information regarding cyanide available to stakeholders.

☐ in full compliance with
The operation is ☐ in substantial compliance with Standard of Practice 9.3
☐ not in compliance with

Summarize the basis for this Finding/Deficiencies Identified:

_________________________  ____________________________  _________________
Name of Mine                  Signature of Lead Auditor               Date

Page 12 of 12