



# ***INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE***

## ***Corrective Action Plan***

### ***Requirements***

#### ***For The International Cyanide Management Code***

[www.cyanidecode.org](http://www.cyanidecode.org)

**December 2016**

The International Cyanide Management Code (hereinafter “the Cyanide Code”), this document, and other documents or information sources referenced at [www.cyanidecode.org](http://www.cyanidecode.org) are believed to be reliable and were prepared in good faith from information reasonably available to the drafters. However, no guarantee is made as to the accuracy or completeness of any of these other documents or information sources. No guarantee is made in connection with the application of the Cyanide Code, the additional documents available or the referenced materials to prevent hazards, accidents, incidents, or injury to employees and/or members of the public at any specific site where gold or silver is extracted from ore by the cyanidation process. Compliance with the Cyanide Code is not intended to and does not replace, contravene or otherwise alter the requirements of any specific national, state or local governmental statutes, laws, regulations, ordinances, or other requirements regarding the matters included herein. Compliance with the Cyanide Code is entirely voluntary and is neither intended nor does it create, establish, or recognize any legally enforceable obligations or rights on the part of its signatories, supporters or any other parties.

# Summary Audit Report

## Corrective Action Plan Requirements

### Instructions

1. The development and implementation of a Corrective Action Plan is required as an integral part of any audit for Cyanide Code certification of a mining operation, cyanide production operation or cyanide transportation operation where the auditor, based on the audit findings, determines that the operation is in substantial compliance and is certified conditionally, or is in non-compliance and cannot be certified.
2. The full implementation of the Corrective Action Plan and adequate notification to the Institute must be completed within one year of a Cyanide Code Certification Audit that finds an operation in substantial compliance with a Standard of Practice, Production Practice or Transport Practice, as applicable. The maximum allowable one-year period begins with the posting of ICMI's conditional certification of the operation.
3. There is no time limit for implementation of a Corrective Action Plan developed to correct a finding of non-compliance.
4. There is not a specific format requirement for the Corrective Action Plan.
5. A Corrective Action Plan must be submitted to ICMI with any Summary Audit Report with a finding of substantial compliance or non-compliance with one or more Standards of Practice, Production Practices or Transport Practices.

### Required Contents of the Corrective Action Plan

- The identification of the Standard of Practice, Production Practice or Transport Practice under which the deficiency was noted.
- A brief description of the deficiency(s).\*
- Detail description of the corrective action required.\*
- Evidence required by the auditor for verification of corrective action.
- The established completion date for the Corrective Action Plan. **Any change in this date must be received by the Institute no later than ten (10) days after the established completion date.**

### Implementation Verification

The auditor must verify full implementation of the Corrective Action Plan and report the results to ICMI no later than 30 days after the established corrective action completion date. This notification must include a report on each of the Standards of Practice, Production Practices or Transport Practices provisions where there was a deficiency noted in the Summary Audit Report and summarize the evidence that results in the conclusion of full compliance.

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\* Due to the sensitivity of security issues regarding storage of cyanide, any Corrective Action Plan items related to security should only identify the need for improved security but should not provide detailed descriptions of the deficiency or corrective action measure.