

# INTERNATIONAL CYANIDE MANAGEMENT INSTITUTE

## Auditor Credentials Form

For The  
International Cyanide Management Code

[www.cyanidecode.org](http://www.cyanidecode.org)

April 2019

**RIO DE JANEIRO, BRASIL**

### Auditor Credentials Form

**Name of Mine:** Mineração Serra Grande – Serra Grande Gold Plant

**Name of Mine Owner:** Anglo Gold Ashanti

**Name of Mine Operator:** Anglo Gold Ashanti

**Name of Responsible Manager:** Rogério Carvalho Costa (Sustainability and Administration Manager)

**Email:** [RCCosta@anglogoldashanti.com.br](mailto:RCCosta@anglogoldashanti.com.br)

**Address:** Rod. GO 336, Km 97, Crixás, GO – Brazil

**State/Province:** Goiais Country: Brazil

**Telephone:** (55+ 62) 3365-7381

E-Mail: [LBAves@anglogoldashanti.com.br](mailto:LBAves@anglogoldashanti.com.br)

#### Lead Auditor Credentials

**Lead Auditor:** JULIO C. M. MONTEIRO – ICMI LEAD AUDITOR

**Certifying Organization:** Name: AFNOR Certification

**Auditor Certification Number:** Certified Lead Auditor of Integrated Management System No. 3531

**Tel. Number:** + 33 1 41. 62 6032

**Address:** 11, rue Francis de Pressencé – 93571 – La Plaine  
Sait-Denis Cedex - France

Email: virginie.boubert@afnor.org

#### 2nd. Auditor: MARCELO VIEIRA MONTEIRO – ICMI LEAD AUDITOR

**Certifying Organization:** Name: Bsi Management Systems\*, Exemplar Global (RAB/QSA) \*\* and RAC Abendi\*\*\*

**Auditor Certification Number:** Certified EMS Lead Auditor & Integrated Management System Lead Auditor (Staff Number #96008938)\*, #110214\*\*, #1466\*\*\*

**Tel. Number:** \*+ 55 11 2148 9600

**Address:** \*Rua Gomes de Carvalho 1069 18º andar Vila Olimpia, São Paulo - SP Brasil

Email: \*sandro.bolgheroni@bsigroup.com

Minimum experience: 3 audits in past 7 years as Lead Auditor

<b>YEAR</b>	<b>Type of Facility, Type of Audit Lead</b>	<b>Country &amp; State/Province</b>
2010	PRIMUS PROCESSAMENTO DE TUBOS – PROTUBO ISO 9001, 14001& OHSAS 18001	RIO DE JANEIRO / BRAZIL
2010	ZERO EMISSIONS	RIO DE JANEIRO / BRAZIL
2010	LLOYD ´S REGISTER ISO 9001	CALLAO – PERÚ & VIÑA DEL MAR – CHILE
2010	ACCENTURE SRL ISO 14001	LA PLATA ARGENTINA
2010	GEMALTO ISO 9001, 14001& OHSAS 18001	BARUERI – SP & CURITIBA-PARANÁ - BRAZIL
2009/2010	SAQUETTO INDUSTRIAL ISO 9001, 14001, SA 8000 & OHSAS 18001	MINAS GERAIS - BRAZIL
2008	ANGLOGOLD ASHANTI – CERRO VANGUARDIA – ISO 14001 & OHSAS 18001	ARGENTINA / CERRO VANGUARDIA
2009/2010	ABENGOA CONSTRUÇÃO ISO 9001, 14001& OHSAS 18001	SANTA CATARINA, PARANÁ, RIO DE JANEIRO - BRAZIL
2008	EMBRAER – SITE HARBIN – ISO 14001	CHINA / HARBIN
2007	MMX MINERACIÓN Y FERROCARRIL – ISO 9001 & OHSAS 18001	AMAPÁ / MACAPÁ and CORUMBÁ – MT - BRAZIL
2011	COTESA S.A.- ISO 9001, 14001& OHSAS 18001	RIO GRANDE DO SUL & SANTA CATARINA- BRAZIL
2011	LASA – FUGRO PROSPECÇÕES - ISO 9001, 14001& OHSAS 18001	GOIAS & RIO DE JANEIRO- BRAZIL
2011	ELECTRICARIBE - 14001& OHSAS 18001	BARRANQUILLA - COLOMBIA
2012	ABENGOA BIOENERGY - 14001& OHSAS 18001	PIRASSUNUNGA – S. PAULO - BRAZIL
2012	ABRATEY CONSTRUÇÃO 14001& OHSAS 18001	RIO DE JANEIRO - BRAZIL
2013	ANGLOGOLD ASHANTI LAMEGO, QUEIRÓZ, CUIABÁ AND ENERGY PLANT – MG - BRAZIL ORE OPERATION MINE – 14001& OHSAS 18001	MG - BRAZIL
2013	ANGLOGOLD ASHANTI – CORREGO DO SÍTIO PLANT ORE OPERATION MINE 14001& OHSAS 18001	MG - BRAZIL

2013	ANGLOGOLD ASHANTI – SERRA GRANDE PLANT ORE OPERATION MINE ISO 14001& OHSAS 18001 and ISO 9001	GO - BRAZIL
2013, 2014,2015, 2016, 2017 & 2018	THERMIT DO BRASIL ISO 9001- 2015	RJ - BRAZIL

### Cyanide-related Operations Experience

Each auditor must have at least 3 years; at least one auditor must have at least 7 years experience

<b>Auditor</b>	<b>Last Years.</b>	<b>Relevant Position Titles</b>	<b>Types of Operations</b>
Julio C. M. Monteiro	8	LEAD AUDITOR	UNIGEL/PROQUIGEL CAMAÇARI – BAHIA – BRAZIL CYANIDE PRODUCTION – CERTIFICATION & RECERTIFICATION
Julio C. M. Monteiro	8	LEAD AUDITOR	UNIGEL/PROQUIGEL CANDEIAS – BAHIA - BRAZIL CYANIDE PRODUCTION - CERTIFICATION & RECERTIFICATION
Julio C. M. Monteiro	8	LEAD AUDITOR	CONCÓRDIA TRANSPORTES CYANIDE TRANSPORTATION - CERTIFICATION & RECERTIFICATION
Julio C. M. Monteiro	8	LEAD AUDITOR	NIQUINI TRANSPORTES CYANIDE TRANSPORTATION
Julio C. M. Monteiro	8	LEAD AUDITOR	MINERAÇÃO APOENA – MT - BRAZIL ORE OPERATION MINE
Julio C. M. Monteiro	8	AUDITOR	ANGLOGOLD ASHANTI LAMEGO, QUEIRÓZ, CUIABÁ AND ENERGY PLANT – MG - BRAZIL ORE OPERATION MINE
Julio C. M. Monteiro	8	AUDITOR	ANGLOGOLD ASHANTI – CORREGO DO SÍTIO PLANT ORE OPERATION MINE
Julio C. M. Monteiro	8	AUDITOR	CERRO VANGUARDIA MINE PLANT ORE OPERATION MINE
Julio C. M. Monteiro	8	AUDITOR	ANGLOGOLD ASHANTI – SERRA GRANDE PLANT ORE OPERATION MINE
Julio C. M. Monteiro	8	LEAD AUDITOR	ARUNTANI TUCARI UNIT - PERU/PUNO ORE OPERATION MINE

Julio C. M. Monteiro	8	LEAD AUDITOR	MARICUNGA - CHILE ORE OPERATION MINE
Julio C. M. Monteiro	8	AUDITOR	RIO PARACATÚ MINERAÇÃO – RPM – MG - BRAZIL
Julio C. M. Monteiro	8	LEAD AUDITOR	PROJECT C 1 – ANDALUZ - YAMANA GOLD – MG - BRAZIL
Julio C. M. Monteiro	8	LEAD AUDITOR	PROJECT C 1 – PILAR DE GOIÁS - YAMANA GOLD – GO - BRAZIL
Julio C. M. Monteiro	8	LEAD INSTRUCTOR	OPPEN COURSE TRAINNING TO MULTIPLYER BASED IN THE PRACTICES OF CYANIDE CODE – ANTOFAGASTA –CHILE, BAHIA & SÃO PAULO - BRAZIL
Julio C. M. Monteiro	8	LEAD AUDITOR	MINAS ARGENTINAS, GUALCAMAYO OPERATIONS, YAMANA GOLD INC. PROVINCIA DE S. JUAN - ARGENTINA
Julio C. M. Monteiro	8	LEAD AUDITOR	CORPORATE AUDITS – YAMANA GOLD – MINES: FAZENDA BRASILEIRO, JACOBINA, MARACÁ, EL PEÑON, PILAR DE GOIAS, FLORIDA
Julio C. M. Monteiro	8	LEAD REVIEWING AUDITOR	CyPlus – DEGUSSA Santos Port – Brazil Due Diligence
Julio C. M. Monteiro	8	LEAD AUDITOR	TRANSALTISA – LIMA, PERÚ 1st. RECERTIFICATION
Julio C. M. Monteiro	8	LEAD AUDITOR	CERTIFICATION OF CYANIDE INTERIM STORAGE – ALPA, APM and OXIQUM – Callao and Lurin – Lima - Peru
Julio C. M. Monteiro	8	LEAD AUDITOR	CERTIFICATION OPERATION LA ARENA MINE – AREQUIPA PERÚ
Julio C. M. Monteiro	8	LEAD AUDITOR	RECERTIFICATION BELLO TRANSPORTES LIMA - PERÚ
Julio C. M. Monteiro	8	LEAD AUDITOR	RECERTIFICATION MFB – BRIO GOLD BAHIA - BRAZIL
Julio C. M. Monteiro	8	LEAD AUDITOR	CERTIFICATION SUPPLY CHAIN – ORICA/TDM MEDELLIN - COLOMBIA

**Statement of No Conflict**

I certify that I have not audited any component of this facility for which I was responsible for design or development; nor have I within the past year been an employee of the facility, its parent company, or associated affiliates. Excluding audits, I have not derived more than 30% of my income within the past 5 years from the facility, its parent, or associated affiliates. I have not participated in more than two consecutive Cyanide Code audits of this facility. I have participated in at least 3 health, safety, and/or environmental audits in the past 7 years and am familiar with standard audit procedures as well as with the protocols developed by the International Cyanide Management Institute for implementation of the Code.

Signed	Name	Signature	Date
Lead Auditor *	Julio C. M. Monteiro		APRIL 2019
2 <sup>nd</sup> Auditor	Marcelo V. Monteiro		

Use additional pages if necessary

\*The lead auditor's signature must be certify by notarization or equivalent.

CARTÓRIO 10º OFÍCIO DE NITERÓI - R. GAVIÃO PEINOTO, 148, ICARÁ, NITERÓI - RJ - TEL.: (21) 2610-5176

Reconheço por SEMELHANÇA a firma de: JULIO CESAR MACEDO MONTEIRO.

\* Niterói, 17/07/2019 R\$7,73 - 17209C32

Em test. da Verdade. Conf. por VALDI JOSE DO NASCIMENTO - Escrevente autorizado - Mat. 94/3004 EDCJ40064 - BBF Consulte em www3.trj.jus.br/sitepublico

089540 ABC3

*Valdi Jose do Nascimento*  
Auxiliar Autorizado  
Matricula nº 94/3004